

9-15-06

10540161 - G

Attorney Docket No: 37737-012



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Gregory et al.
SERIAL NUMBER : 10/540,161
FILING DATE : June 17, 2005
TITLE : Nanostructures

EXAMINER :
ART UNIT :

Mail Stop: IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants make of record the documents listed on the attached Form PTO/SB/08, as well as copies of the listed documents.

This Information Disclosure Statement is filed before the mailing of a first Office Action on the merits. Accordingly, no fee or certification is believed due at this time.

It is respectfully requested that the Examiner consider fully the documents made of record herein, in addition to other information, in reaching a determination concerning the patentability of the present claims. It is further respectfully requested that the Examiner sign the enclosed form PTO/SB/08 to evidence that the documents listed have been fully considered by the U.S. Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, Applicants make no representation that a search has been performed, 37 C.F.R. § 1.97(g), or that the information listed in this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), 37 C.F.R. § 1.97(h), or that no other material information exists. Applicants make no representation that the information cited in this Statement is,

Express Mail No.: EQ 789468683 US
Date of Deposit: September 14, 2006

Attorney Docket No: 37737-012

or is considered to be, prior art as defined by 35 U.S.C. § 102. The filing of this Statement shall not be construed as an admission against interest in any manner.

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited information. An early and favorable action is respectfully requested.

Respectfully submitted,


Adam M. Schoen Reg. No. 58,576
Sonia K. Guterman Reg. No. 44,729
Attorneys for Applicants
Lawson & Weitzen, LLP
88 Black Falcon Ave., Suite 345
Boston MA 02210-2481
Tel: (617) 439-4990
Fax: (617) 439-3987
Customer Number 48425

Dated: September 14, 2006



10540161 - GAU:

PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~

U.S. Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 2

<i>Complete if Known</i>	
Application Number	10/540,161
Filing Date	June 17, 2005
First Named Inventor	Gregory et al.
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Home Docket Number	37737-012

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code* "Number" "Kind Code" (if known)	MM-DD-YYYY		
/RK/	B1	WO 02/081366	10-17-2002	Commonwealth Scientific	
/RK/	B2	WO 02/42204 A2	05-30-2002	Lockheed Martin Corp.	
/RK/	B3	WO 98/24576	06-11-1998	University of Connecticut	
/RK/	B4	WO 96/30570	10-03-1996	Hyperion Catalysis	
/RK/	B5	PCT/GB03/05565	7-08-2004	International Search Report	
/RK/	B6	PCT/GB03/05565	6-28-2005	Int. Preliminary Exam Rpt.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

⁴EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ⁵Applicant's unique citation designation number (optional). ⁶See Kind Codes of the USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁷Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁸For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁹Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ¹⁰Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/540,161
		Filing Date	June 17, 2005
		First Named Inventor	Gregory et al.
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Sheet	2	of	2
		Attorney Docket Number	

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	/Robert Kunemund/	Date Considered	04/22/2008
-----------------------	-------------------	--------------------	------------

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with any communication to applicant.

Applicant's unique citation designation is required by 37 CFR 1.14. The information is required to enable the USPTO to identify the application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.